

Instructions and Guidance Form 5-1 and Form 5-2

CDBG Lead Based Paint Compliance Plan Certification

1. A Lead Based Paint (LBP) Compliance Plan Certification must be submitted to the Office of Community Renewal prior to undertaking any housing rehabilitation activities.
 - a. A new LBP Compliance Plan Certification will be required for each new housing award made to a Recipient.
2. There are two Certifications available on the OCR website at
 - a. Form 5-1 CDBG LBP Compliance Plan Certification Rehabilitation
 - i. This applies to **ALL** housing activities being undertaken by a Recipient or Subrecipient, including, but not limited to:
 1. Any housing rehabilitation
 2. Any housing activity for homeownership with rehabilitation
 3. Mobile home replacement
 4. Wells and septic
 5. Lateral connection assistance
 - b. Form 5-2 CDBG LBP Compliance Plan Certification Acquisition
 - i. This applies to **ALL** homeownership (acquisition) assistance where the assistance is restricted to:
 1. Mortgage subsidy
 2. Downpayment assistance
 3. Closing costs assistance
 - ii. If the homeownership assistance includes ANY rehabilitation activity, the CDBG LBP Compliance Plan Certification Rehabilitation applies.

Instructions for completing:

1. Enter the name of the Recipient; this is the name of the County, City, Town or Village to which a NYS CDBG housing award has been made.
2. Enter the CDBG Project #; this is the OCR assigned project.
3. Read through each certification carefully and check each box. By checking the box and signing the form, the Recipient is certifying that it will be in compliance with all applicable lead based paint regulations at the time the activity occurs.
4. For Recipient Certification, the Chief Elected Official (CEO) must complete as indicated.
 - a. The CEO is the County Chair, County Executive, Mayor or Supervisor
5. If the Recipient is utilizing the services of a Subrecipient who will be undertaking the activities on behalf of the Recipient, complete this section in addition to the Recipient certification.
 - a. A subrecipient is not a consultant.
 - b. If a Recipient is utilizing the services of a consultant, do not complete this section.