



Homes and Community Renewal

ANDREW M. CUOMO
Governor

JAMES S. RUBIN
Commissioner/CEO

QUESTIONS AND ANSWERS ***Request for Proposals for Senior and Co-Managing Underwriters Services***

Round 2 of Questions and Answers: Issued March 11, 2016

1. May firms request an appointment as a Selling Group member? And if so, is there an abbreviated number of questions these firms can respond? (3/1/2016)

Proposers are not required to submit a response to this RFP to be considered for an appointment as a Selling Group member. Interested Firms may provide a standard letter with their SEC registration providing the Agencies with the following information: (a) SEC registration, (b) New York presence, and (c) experience in munis and the housing sector.

2. Because underwriting contracts do not include subcontracting we ask whether it is necessary to complete the form MWBE & EEO Policy Statement (PROC-4)? (3/7/2016)

Yes, as the form also includes your Firm's EEO policy. On page 2 of the form enter zero for each of the following categories: MBE participation, WBE participation and overall MWBE participation.



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QUESTIONS AND ANSWERS ***Request for Proposals for Senior and Co-Managing*** ***Underwriters Services***

Round 1 of Questions and Answers: Issued February 29, 2016

1. If applying to serve as Co-Manager, do firms need to meet the minimum insurance requirement for errors and omissions? (page 18, Section 9.3.1) (2/23/2016)

At the time of appointment to serve as a Co-Manager, a firm will need to meet the minimum insurance requirements in the RFP.

2. Are joint proposals accepted? If so, does the firm applying as a co-manager need to meet the minimum insurance requirement? (page 18, Section 9.3.1) (2/23/2016)

Joint proposals will not be accepted.